



# IDBI Nifty Junior Index Fund

(An Open-ended passively managed equity scheme tracking the CNX Nifty Junior Index (Total Returns Index))

**Offer for Units of Rs. 10 per Unit for cash during the New Fund Offer Period and at NAV based prices upon re-opening**

**NFO**

**Opens on : 2nd September, 2010**

**Closes on : 15<sup>th</sup> September, 2010**

**Scheme re-opens for continuous sale and repurchase from 27<sup>th</sup> September, 2010**

Name of Mutual Fund	: IDBI Mutual Fund	Name of Asset Management Company	: IDBI Asset Management Limited
Name of Sponsors	: IDBI Bank Limited	Name of Trustee Company	: IDBI MF Trustee Company Limited

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations, conditions & disclosures of IISL regarding usage of CNX Nifty Junior Index etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website [www.idbimutual.co.in](http://www.idbimutual.co.in)

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

## Key Information Memorandum and Application Form

### Name of the Scheme

IDBI Nifty Junior Index Fund

### Type / Category

An Open-ended passively managed equity scheme tracking the CNX Nifty Junior Index (Total Returns Index)

### Investment Objective

The investment objective of the scheme is to invest only in and all the stocks comprising the CNX Nifty Junior Index in the same weights of these stocks as in the Index with the objective to replicate the performance of the Total Returns Index of CNX Nifty Junior Index. The scheme may also invest in derivatives instruments such as Futures and Options linked to stocks comprising the Index or linked to the CNX Nifty Junior Index. The scheme will adopt a passive investment strategy and will seek to achieve the investment objective by minimizing the tracking error between the CNX Nifty Junior Index (Total Returns Index) and the Scheme.

### Asset Allocation Pattern

Instrument	Normal allocation (% of total assets)	
	Minimum	Maximum
Stocks in the CNX Nifty Junior Index and derivative instruments linked to the CNX Nifty Junior Index	95%	100%
Cash and Money Market Instruments including money at call but excluding Subscription and Redemption Cash Flow	0%	5%

Subscription Cash Flow is the subscription money in transit before deployment and Redemption Cash Flow is the money kept aside for meeting redemptions. Subscription monies will be treated as cash-in-transit until realized and transferred to the operative account of the Scheme. Similarly redemption proceeds will be treated as cash-in-transit out of the operative account of the Scheme. The above procedure is adopted to track the Index more efficiently and reduce the tracking error in the Scheme.

The scheme does not intend to invest in ADRs/GDRs or foreign securities or securitized debt. Investments in Derivative instruments linked to the CNX Nifty Junior Index (as and when available) will be permitted subject to a maximum limit of 50% of the net assets of the scheme. The gross investment in securities under the scheme, which includes equities and equity linked instruments, debt securities, money market instruments and gross exposure to derivatives will not exceed 100% of the net assets of the scheme.

IDBI Nifty Junior Index Fund being a passively managed scheme, portfolio turnover in the Scheme will be limited only to rebalancing the portfolio of the scheme to account for new subscriptions, redemptions, payout of dividends and changes in the constituents (addition/deletion of stocks) in the CNX Nifty Junior Index. The Fund Manager will endeavor to rebalance the portfolio to target Index's weights to adjust for any deviations from the Index weightage due to corporate actions/addition/deletion of the constituents within a period of 5 business days under normal market conditions.

### Risk Profile of the Scheme

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:

- The CNX Nifty Junior Index is an index comprising the next rung of 50 most liquid securities after S&P CNX Nifty. As with any diversified index, any significant political, economic or a global event or a general downturn in the economy can have an adverse impact on the performance of the Index and thereby, the Scheme.
- IDBI Nifty Junior Index Fund is a passively managed scheme that will track the CNX Nifty Junior Index closely. The scheme will continue to hold a particular stock in the portfolio at the same weight as in the index irrespective of the fundamental view that the Fund Manager may have regarding the particular stock as long as the stock is a constituent of

the index

- The Trustees, AMC, Fund, their directors or their employees shall not be liable for any tax consequences that may arise in the event that the scheme is wound up for the reasons and in the manner provided under the Scheme Information Document & Statement of Additional Information.
  - The performance of the CNX Nifty Index will have a direct bearing on the performance of the scheme. Hence any composition change made by the index service provider in terms of weightage or stocks selection will have an impact on the performance of the scheme.
  - In the event the CNX Nifty Index is dissolved or is withdrawn by IISL or is not published due to any reason whatsoever, the investment objectives of the Scheme may not be realized.
  - Redemption by the Unit holder due to change in the fundamental attributes of the Scheme or due to any other reasons may entail tax consequences. The Trustees, AMC, their directors or their employees shall not be liable for any tax consequences that may arise.
  - The tax benefits described in the SID are as available under the present taxation laws and are available subject to relevant condition. The information given is included only for general purpose and is based on advice received by the AMC regarding the law and practice currently in force in India and the Investors and Unit Holders should be aware that the relevant fiscal rules or their interpretation may change. As in the case with any investment, there can be no guarantee that the tax position or the proposed tax position prevailing at the time of the investment in the Scheme will endure indefinitely. In view of the individual nature of tax consequences, each Investor / Unit holder is advised to consult his/her/its own professional tax advisor.
  - Tracking errors are inherent in any index fund and such errors may cause the scheme to generate returns, which are not in line with the performance of the designated index. Such deviation in returns may arise due to several factors including but not limited to: i. Any delay experienced in the purchase or sale of shares due to prevailing liquidity in the market, settlement and realization of sales proceeds and the registration of any security transfer and any delays in receiving cash and scrip dividends and resulting delays in reinvesting them. ii. The CNX Nifty Junior Index reflects the prices of securities at close of business hours. However, the Fund may buy or sell securities at different points of time during the trading session at the then prevailing prices which may not correspond to the closing prices on the National Stock Exchange (NSE). iii. IISL undertakes a periodic review of the stocks that comprise the CNX Nifty Junior Index and may either drop or include new securities. In such an event the Fund will endeavour to reallocate its portfolio but the available investment / disinvestment opportunities may not permit precise mirroring of the CNX Nifty Junior Index immediately. iv. The potential for trades to fail, which may result in the particular scheme not having acquired shares at a price necessary to track the index. v. The holding of a cash position and accrued income prior to distribution and accrued expenses. vi. Disinvestments to meet redemption, recurring expenses, dividend payout etc. as elsewhere indicated in this Scheme Information Document.
- The Tracking Error that may arise in this scheme is expected to be around 2% on an annualized basis. This is only an estimate and is expected to vary according to the recurring expenses incurred by the scheme and other factors detailed above. Tracking error will be calculated and disclosed as required under SEBI (MF) Regulations. In addition, the AMC will also disclose the tracking error in the monthly factsheets.
- The Mutual Fund is not assuring any dividend nor is it assuring that it will make any dividend distributions. All dividend distributions are subject to the availability of distributable surplus and would depend on the performance of the scheme and will be at the discretion of the AMC.

### Plan and Options

- Growth Plan
- Dividend Plan with option for payout/reinvestment of dividends

## Key Information Memorandum and Application Form (Cont'd...)

In cases where investors do not opt for a particular Plan at the time of investment, the default Plan will be the Growth Plan. In such cases where the investors have opted for the Dividend Plan but not specified the option (payout/reinvestment), the reinvestment option would be treated as the default option.

### Applicable NAV (after the scheme opens for repurchase and sale)

**Subscription: 1.** In respect of valid applications received up to 3.00 p.m. on a Business Day by the Fund along with a local cheque or a demand draft payable at par at the Official Points of Acceptance where the application is received, the NAV of the day on which application is received shall be applicable. **2.** In respect of valid applications received after 3.00 p.m. on a Business Day by the Fund along with a local cheque or a demand draft payable at par at the Official Points of Acceptance where the application is received, the NAV of the next Business day shall be applicable. **3.** In respect of valid applications with an outstation cheques or demand drafts not payable at par at the Official Points of Acceptance where the application is received, the NAV of day on which the cheque or demand draft is credited shall be applicable.

**Redemption: 1.** In respect of valid applications received up to 3.00 p.m. on a Business Day by the Fund at the Official Points of Acceptance, the NAV of the day on which application is received shall be applicable. **2.** In respect of valid applications received after 3.00 p.m. on a Business Day by the Fund at the Official Points of Acceptance, the NAV of the next Business day shall be applicable.

**Switches:** Switch-out: Valid applications for 'switch-out' shall be treated as applications for Redemption and the provisions of the Cut-off time and the Applicable NAV mentioned in the SID as applicable to Redemption shall be applied to the 'switch-out' applications. In case of 'switch' transactions from one scheme to another, the allotment shall be in line with redemption payouts

### Minimum Application Amount/ Number of Units

Purchase	Additional Purchase	Repurchase
<b>For new purchases :</b> Rs. 5000 and in multiples of Rs. 100 thereafter <b>For Systematic Investment Plan :</b> • Rs. 1000 for a minimum period of six months • Rs. 500 for atleast 12 months • Rs.1500 per quarter for atleast 4 quarters. Multiples of Rs. 100 for all SIP irrespective of frequency of SIP	Rs. 1000 and in Multiples of Rs. 100	Rs. 1000 or 100 units whichever is lower

### Dispatch of Repurchase (Redemption) Request

Within 10 business days from the date of the relevant interval period for which a valid redemption request is received at the authorized center of IDBI Mutual Fund.

### Benchmark Index

CNX Nifty Junior Index (Total Returns Index)

### Dividend Policy

Dividend declaration under the Dividend Plan of the scheme is subject to the availability of distributable surplus and at the discretion of the AMC and no returns is assured under the scheme.

### Name of the Fund Manager

Mr. Gautam Kaul

### Name of the Trustee Company

IDBI MF Trustee Company Limited

### Performance of the scheme

This scheme does not have any performance track record

### Expenses of the Scheme

	New Fund Offer Period	On an ongoing basis
<b>Load Structure</b>	The initial issue expenses of IDBI Nifty Junior Index Fund would be borne by the Asset Management Company	<b>Entry Load : Not Applicable</b> <b>Exit Load : 1% for exit</b> (repurchase/switch-out/SWP STP) on or before 1 year from the date of allotment
	<b>Load Structure</b> <b>Entry Load : Not Applicable</b> <b>Exit Load : 1% for exit</b> ( repurchase/	<b>Exit Load (for SIP) : 1% for exit</b>

	switch-out/SWP/STP) on or before 1 year from the date of allotment for the subscriptions received during the NFO period	(repurchase/ switch-out) on or before 1 year from the date of allotment of each installment
	SEBI vide its circular no. SEBI/IMD/CIR No. 4/ 168230/09 dated June 30, 2009 has decided that there shall be no entry Load for all Mutual Fund Schemes. The upfront commission, if any, to the distributor on the investment made by the investor will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by the distributor.	
<b>Recurring expenses</b>	In the case of IDBI Nifty Junior Index Fund, the total recurring expenses of the scheme including the investment and advisory fees shall not exceed one and one half percent (1.5%) of the weekly average net assets as per the SEBI (MF) Regulations In the case of IDBI Nifty Junior Index Fund, the investment and advisory fees shall not exceed three fourths of one percent (0.75%) of the weekly average net assets as per the SEBI (MF) Regulations.	

### Waiver of Load for Direct Applications

Not applicable

### Tax treatment for the Investors (Unitholders)

IDBI Nifty Junior Index Fund	Resident Investors	Mutual Fund
Tax on Dividend	Nil	Nil
Capital Gains		
• Long Term	Nil	Nil
• Short Term	15% (plus applicable surcharge and cess)	Nil

Unit holders of the Scheme will be charged securities transaction tax (STT) @ 0.25% on value of redemption of units or at applicable rates.

For further details on taxation please refer to the Section on Taxation in the SAI

### Daily Net Asset Value (NAV) Publication

The NAV, Sale Price and Repurchase will be declared on all business days and will be published in 2 newspapers. NAV can also be viewed on [www.idbimutual.co.in](http://www.idbimutual.co.in) and [www.amfiindia.com](http://www.amfiindia.com)

### For Investor Grievances, please contact

Registrar	IDBI Mutual Fund
<b>Computer Age Management Services Private Limited (CAMS)</b> SEBI Registration Number : INR000002813 New No. 10, Old No. 178, MGR Salai, Nungambakkam, Chennai – 600034 Tel: 044-30407000 Fax: 044-24581750	Mr. S.V. Durga Prasad Asst. Vice President & Investor Relations Officer IDBI Asset Management Ltd., Lancel Westminster, Old No. 108, New No. 70 Dr. Radhakrishnan Salai, Chennai 600 004 Tel: 044-43456126 Fax: 044-43456110 Email: <a href="mailto:contactus@idbimutual.co.in">contactus@idbimutual.co.in</a>

### Unitholders' Information

**1. Account Statement:** The Mutual Fund shall endeavour to dispatch the Account Statement within 5 working Days from the date of the receipt of a valid application from the Unit holder.  
**2. Scheme Portfolio and Unaudited half-yearly results:** The Mutual Fund shall publish a complete statement of the scheme portfolio and the unaudited financial results, within one month from the close of each half year (i.e. 31st March and 30th September), by way of an advertisement at least, in one National English daily and one regional newspaper in the language of the region where the head office of the Mutual Fund is located as per the new format prescribed by SEBI vide their Circular No. MFD/CIR/1/200/2001 dated April 20, 2001.  
**3. Annual Report:** The Scheme wise Annual Report or an abridged summary thereof shall be mailed to all Unitholders within four months from the date of closure of the relevant accounts year i.e. 31st March each year. The Abridged Scheme wise Annual Report may be mailed to the investors' e-mail address if so mandated.

The Portfolio Statement, unaudited financial results, Scheme wise annual report will also be displayed on the website of the Mutual Fund and AMFI.

## GENERAL INFORMATION AND GUIDELINES

- Please read carefully the Scheme Information Document (SID) of the scheme and Statement of Additional Information (SAI) containing the terms of offer before investing. Prospective investors should not treat the contents of this document or the SID of the scheme as advice relating to legal, taxation, investment or any other matter and are recommended to consult their own professional advisors concerning the acquisitions, holding or disposal of the Units. It must be understood clearly that all applicants are deemed to have accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the application form and tendering payment. The SID/ Key Information Memorandum of the Scheme is available with the Investor Service Centres (ISCs) of IDBIMF, AMFI certified brokers/distributors and is also available for download on the **IDBIMF website i.e. [www.idbimutual.co.in](http://www.idbimutual.co.in)**
- Please complete the Application Form legibly in black ink or blue ink, in the English language only, in BLOCK CAPITALS. Please strike out with a line across any section that is not applicable.
- Submission of Application Forms :** Application form complete in all respects with the necessary remittances may be submitted before the closure of the New Fund offer/on an ongoing basis at IDBI MF Corporate Office, IDBI MF ISC/official Points of Acceptance of the Registrar or such other collection centers as may be designated by the AMC. The list of

- collection centers is printed on the last page.
- Application by post:** The application forms for subscription/ redemption/switches should be submitted at / may be sent by mail to, any of the ISCs/designated Points of Acceptance. Applications received by post will be deemed to have been submitted on date of receipt at the designated collection centre.
- Investors are advised to retain the acknowledgement slip signed/stamped by the collection centre where they submit the application.
- Allotment of Units:** Allotment is assured to all applicants subject to meeting the conditions of minimum subscription amount and minimum number of applicants as specified in the SID, provided the application is complete in all respects and is in order. Applications not complete in any respect are liable for rejection.

## GUIDELINES TO HELP YOU COMPLETE THE APPLICATION FORM

### 1 - First Applicant's Personal Details:

- Applications for Individuals:** Please write your name in the sequence of First Name, Middle Name and Last Name. Please do not abbreviate any name. Preferably write your name exactly as it appears in the Bank Account (as provided in the bank account details).
- Applications for Non-Individuals:** Companies, Trusts, Partnership firms, Societies or any other association should write the name exactly as it appears in its Incorporation document and in the Bank Account (as provided in the bank account details).

- c) Please fill in your date of birth as this may be required to identify you when communicating with us.  
 d) If you have an email ID please include it as this will help us resolve queries more promptly.
- e) **PAN (Permanent Account Number)**: SEBI has made it mandatory for investors to mention their Permanent Account Number (PAN) for transacting in the units of mutual funds, irrespective of the amount of transaction. Submission of self-attested copy of PAN card is mandatory for all categories of investors (including NRI investors) except for investors residing in Sikkim. Submission of copy of PAN card by Guardian of a minor is mandatory for investments by minor whether copy of PAN of minor is provided or not. Pursuant to SEBI letter MRD/DOP/PAN/PM/166999 dated June 19, 2009 addressed to AMFI and in compliance with AMFI Guidelines dated July 14, 2009, investment up to Rs. 50,000 per investor per financial year in Micro SIP shall be exempted from the requirement of PAN subject to submission of voters Id, Driving license, Government/Defense identification card, Passport, Photo Ration Card, Photo Debit card, Employee ID card issued by companies registered with ROC, Photo identification issued by scheduled commercial and district co-operative Banks, universities or institutes under statute (like ICAI, ICWAI, ICSI), PRAN card issued by NSDL or any other photo ID card issued by Govt authorities. This exemption will be applicable only to investments by individuals (including NRIs but not PIOs), Minors and Sole proprietary firms. HUFs and other categories will not be eligible for exemption under Micro SIPs. The exemption is applicable to joint holders also. In order to enroll for Micro SIP investors are required to fill in the SIP Enrolment Form (by indicating their choice for Micro SIP in the appropriate space provided) available with the ISCs, Distributors /agents or downloading from the website [www.idbimutual.co.in](http://www.idbimutual.co.in). In case any document submitted by Investor is found defective, then Mutual Fund will be liable to reject the application.
- f) **Know your Customer (KYC) Policy**  
 In accordance with AML Laws, Mutual Funds are required to formulate and implement a client identification programme or KYC to verify and maintain the records of identity and address(s) of the investors. In this regard, investors who wish to make an investment of Rs. 50,000/- and above in the units of mutual fund will be required to produce prescribed documents to any of the Point of service (POS) or such other offices as may be notified by IDBIMF or AMFI from time to time in order to comply with KYC norms of IDBIMF. However, the AMC reserves absolute discretion to revise the aforesaid investment limit in line with the existing AML rules & regulations. Applications for investment of Rs. 50,000 or above without a valid KYC compliance will be liable to be rejected. CDSL Ventures Limited (CVL) is handling the work of "Customer profiling and Record keeping" for issuance of Know Your Client (KYC) acknowledgement to mutual fund investors. All investors will now have to submit their PAN card copy (which serves as Proof of Identity (PoI)) and Proof of Address (PoA) only once to the designated Point of Service (PoS) centers spread across the country. After confirming the credentials of the investor, the PoS will issue a KYC acknowledgement letter that needs to be submitted along with the application form. A complete list of PoS is available on the website of AMFI ([www.amfiindia.com](http://www.amfiindia.com)), CVL ([www.cvlindia.com](http://www.cvlindia.com)) and on [www.idbimutual.co.in](http://www.idbimutual.co.in)  
 Applicants intending to apply for units through a Power of Attorney (PoA) must ensure that the issuer of the PoA and the holder of the PoA must mention their KYC Compliance Status and attach proof of KYC Compliance at the time of investment of Rs.50,000/- and above. If any applicant is a minor, the name of the Guardian who will sign on behalf of the minor should be filled in the space provided. In case the applicant is a Corporate or a Non-Individual investor, the Contact Person's name should be stated in the space provided. Your address should be written in full with pin code. P.O. Box address is not sufficient.
- g) **Mode of Payment** : Cheque/D.D. to be crossed "Account Payee" only and should be drawn payable to: - "IDBI Nifty Junior Index Fund". Unit holders must write the Application number on the reverse of the cheques/D.D. accompanying the Application Forms: i. **For Resident investors**: Payment may be made by local cheque or bank draft payable locally drawn on any bank, located at the place where the application is submitted. No cash, money orders, outstation cheques, post dated cheques (except for Systematic Investment Plan (SIP) transaction) and postal orders will be accepted. Cheques drawn on the bank not participating in the clearing house will not be accepted. Bank charges for outstation demand drafts will be borne by the AMC and will be limited to the bank charges stipulated by the State Bank of India subject to a maximum limit of Rs.50000 per instrument. The AMC will not bear the bank charges for outstation demand drafts in case of investments in liquid/money market funds and such charges will have to be borne by the investor. Outstation Demand Draft has been defined as a demand draft issued by a bank where there is no ISC/Points of Acceptance available for Investors. Investors may further note that in case of any application made through the Demand Draft, no Demand Draft charges will be reimbursed by the AMC in any case. The same will have to be borne by investors only. For Investors having a bank account with IDBI Bank Limited or such other banks with whom the AMC would have an arrangement from time to time, payment may be made either by issuing a cheque drawn on such banks or by giving a debit mandate to their account with a branch of such banks situated at the same location as the ISC/Point of Acceptance. Single cheque for investments in multiple schemes and multiple cheques for investments under a single application form will not be accepted. ii. **For Non-Resident Indian (NRI)/PIO/FII investors**: ● On Repatriation Basis: In the case of NRIs including PIOs, payment may be made either by inward remittance through normal banking channels or out of funds held in his Non - Resident (External) Rupee Account (NRE) / Foreign Currency (Non- Resident) Account (FCNR). In case Indian rupee drafts are purchased abroad or from Foreign Currency Accounts or Non-resident Rupee Accounts an account debit certificate from the Bank issuing the draft confirming the debit shall also be enclosed. FIIs shall pay their subscription either by inward remittance through normal banking channels or out of funds held in Foreign Currency Account or Non- Resident Rupee Account maintained by the FI with a designated branch of an authorized dealer in accordance with the relevant exchange management regulations. ● On non-repatriation basis: In the case of NRIs/PIOs, payment may be made either by inward remittance through normal banking channels or out of funds

- held in his NRE / FCNR / Non-Resident Ordinary Rupee Account (NRO). In case Indian rupee drafts are purchased abroad or from Foreign Currency Accounts or Non-resident Rupee Accounts an account debit certificate from the Bank issuing the draft confirming the debit shall also be enclosed.
- h) **Who can invest**: The following is an indicative list of eligible persons who to invest in the scheme and subject to the limits & conditions specified in the respective governing regulations of each category: Resident adult individuals either singly or jointly (not exceeding three), Hindu Undivided Family (HUF) through Karta, Minor through parent / legal guardian, Partnership Firms, Proprietorship in the name of the sole proprietor, Companies, Bodies Corporate, Public Sector Undertakings (PSUs.), Association of Persons (AOP) or Bodies of Individuals (BOI) and societies registered under the Societies Registration Act, 1860 (so long as the purchase of Unit is permitted under the respective constitutions, Banks (including Co-operative Banks and Regional Rural Banks) and Financial Institutions, Religious and Charitable Trusts, Wakfs or endowments of private trusts (subject to receipt of necessary approvals as "Public Securities" as required) and Private trusts authorized to invest in mutual fund schemes under their trust deeds, Non-Resident Indians (NRIs) / Persons of Indian origin (PIOs) residing abroad on repatriation basis or on non-repatriation basis, Foreign Institutional Investors (FIIs) and their subaccounts registered with SEBI on repatriation basis, Army, Air Force, Navy and other para-military units and bodies created by such institutions, Scientific and Industrial Research Organizations, Multilateral Funding Agencies / Bodies Corporate incorporated outside India with the permission of Government of India / RBI, Provident/Pension/ Gratuity Fund to the extent they are permitted, Other schemes of IDBI Mutual Fund or any other Mutual Fund subject to the conditions and limits prescribed by SEBI Regulations, Trustee, AMC or Sponsor or their associates may subscribe to Units under the Scheme. Minor Unit Holder on becoming Major may inform the Registrar about attaining Majority Age and provide his specimen signature duly authenticated by his banker as well as his details of bank account and PAN (if required) to enable the Registrar to update their records and allow him to operate the Account in his own right.
- i) To help us service you better, please provide your telephone number(s) / mobile number(s) including the relevant STD / ISD code.
- j) Please indicate the status of the sole / 1st Applicant at the time of investment. Any change in status during the period of holding units should be given to assist in accurate tax treatment of income arising from such holdings. The abbreviations used in these sections are: HUF - Hindu Undivided Family; AOP - Association of Persons; FI - Foreign Institutional Investor; BOI - Body of Individuals
- k) **Mode of Holding**: Tax concessions will be available only to the first named applicant. If the application is in the name of more than one individual, it will be treated as "Jointly" in case no choice under "Mode of holding(s)" is indicated. "Single" will entitle only the first holder to operate the account to the exclusion of the joint holders. "Anyone or Survivor" will entitle any one of the joint holders to operate the folio and "Jointly" will allow operation of the account only if all the holders sign the instruction.
- 2- **Second and Third Applicants' Details** : The names of Second and Third Applicants should be provided. Please see guideline 1 above.
- 3- **Bank Particulars** : SEBI has made it mandatory for investors in mutual funds to state their bank account numbers in their applications and in redemption requests. Investors are requested to provide these details in the space provided in the application form to enable verification of the bank mandate details and to also ensure expeditious clearing of instruments. This measure is intended to avoid fraud/misuse or theft of warrants in transit. Please note that applications not containing these details may be rejected.
- 4- **Investment Options**: Options exercised at the time of application may be changed by the investor at a later date by way of a communication to the Registrar of the Scheme. Such changes would be effective from a prospective date. Please tick either the "Growth" or "Dividend" Plan. If this is left blank or it is not clear, the default will be treated as "Growth". If "Dividend" option is ticked, please select either "Re-investment" or "Payout". If this is left blank or it is not clear, the default will be treated as "Re-investment".
- 5- **Systematic Investment Plan (SIP), Systematic Withdrawal Plan (SWP), Systematic Transfer Plan (STP)**: The Scheme offers SIP, SWP and STP facility and the terms & conditions are available in the application for SIP/SWP/STP and SID & SAI. Unit holders will have the facility of switchover between Plans in the scheme or to other schemes. Switchover between the Growth and Dividend Plans of this scheme will be at the applicable NAV and switchovers to other schemes will be at NAV related prices.
- 6- **Nomination Facility**: The AMC provides an option to the Unit holder to nominate (in the manner prescribed under the SEBI Regulations), a person/persons in whom the units held by him shall vest in the event of his death. Where the units are held by more than one person jointly, the joint Unit holders may together nominate a person/persons in whom all the rights in the units shall vest in the event of death of all the joint Unit holders. If the units are held jointly, all joint holders will sign the nomination form. The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu undivided family (HUF), holder of Power of Attorney cannot nominate. A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the Unit holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust. The Nominee shall not be a Trust, other than a religious or charitable trust, society, body corporate, partnership firm, Karta of HUF or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time. Transfer of units in favour of a Nominee shall be valid discharge by the AMC against the legal heir. Investors should note that where the Units are transferred in favour of the nominee, the KYC formalities, as applicable, shall have to be completed by the nominee.
- 7- **Declaration and Signatures**: a) All signatures should be in English or any Indian language. Thumb impressions should be from the left hand for males and the right hand for females and in all cases be attested by a Magistrate, Notary Public under his/her official seal. b) In case of applications under a Power of Attorney, the relevant Power of Attorney or the certified and duly notarized copy thereof must be lodged along with the application.

## Terms & Conditions for SIP / SWP / STP

### SIP Payment through Electronic Clearing Service (Debit Clearing) of the Reserve Bank of India (RBI)

ECS Centres : Agra, Ahmedabad, Allahabad, Amritsar, Aurangabad, Asansol, Anand, Bangalore, Belgaum, Bhillwara, Bhopal, Bhubaneswar, Bikaner, Burdwan, Bhavnagar, Calicut, Chandigarh, Chennai, Cochin, Coimbatore, Cuttack, Dehradun, Dhanbad, Durgapur, Davangere, Erode, Gorakhpur, Guwahati, Gwalior, Gadag, Gulbarga, Gangtok, Haldia, Hubli, Hyderabad, Hasan, Indore, Jabalpur, Jaipur, Jalandhar, Jammu, Jamnagar, Jamshedpur, Jodhpur, Kanpur, Kolhapur, Kolkata, Kakinada, Kota, Lucknow, Ludhiana, Madurai, Mangalore, Mumbai, Mysore, Nagpur, Nasik, Nellore, New Delhi, Panjim, Patna, Pondichery, Pune, Rajkot, Ranchi, Raipur, Raichur, Salem, Shimla, Shimoga, Sholapur, Siliguri, Surat, Tirupati, Tirupur, Trichur, Trichy, Trivandrum, Tirunelveli, Udaipur, Udipi, Vadodara, Varanasi, Vijayawada, Visakhapatnam

- This facility is offered to the Investors having bank accounts in select cities mentioned above. The cities in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of IDBI Mutual Fund without assigning any reason or prior notice. If any city is removed, SIP instructions for investors in such cities via ECS (Debit) route will be discontinued without prior notice.
- New Investors who wish to enroll for SIP through Auto Debit should fill this SIP Auto Debit Form in addition to the SIP Application Form provided with the key information memorandum.
- Completed Application Form, SIP Auto Debit Form and the first cheque should be submitted at least 30 Days before the first transaction date.
- The Investor agree to abide by the terms and conditions of ECS facility of Reserve Bank of India (RBI).
- Investors should compulsorily give a cheque for the first transaction. The cheque should be drawn on the same bank account which is to be registered for ECS (Debit).
- The bank account provided for ECS (Debit) should participate in local MICR clearing.
- The cheque should be drawn in favour of IDBInifty Index Fund and Crossed "A/c payee only". All cheques accompanying the SIP enrolment form should contain the SIP enrolment number on its reverse.
- Minimum number of installments/ Amount - For monthly frequency - 12 installments (including first installment), where the amount of each SIP installment is Rs. 500 per month or more but less than Rs. 1,000 or 6 installments (including first installment), where the amount of each SIP installment is at least Rs. 1,000 per month & For quarterly frequency - 4 installments with minimum investment being Rs. 1500 per month or more (including first installment)
- For Micro SIP up to Rs. 50,000 per year per investor shall be exempted from the requirement of PAN. In lieu of PAN card copy Investor (including joint holders) to provide the self attested valid Photo ID Proof. All Micro SIPs where aggregate of installments in a financial year i.e. April to March does not exceed Rs. 50,000. This exemption will be applicable only investments by individuals (including NRIs but not PIOs), Minors and Sole proprietary firms. HUFs and other categories will not be eligible for Micro SIPs. The exemption is applicable to joint holders also. While making additional/subsequent Micro SIP investment, investor can quote the existing folio number where a Micro SIP has been registered and therefore need not resubmit the supporting documents again.  
List of the photo identification documents to be submitted in case of Micro SIP - Voter Identity Card, Driving License, Government / Defense identification card, Passport, Photo Ration Card, Photo Debit Card (Credit card will not be accepted), Employee ID cards issued by companies registered with Registrar of Companies, Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazette Officer / Elected Representatives to the Legislative Assembly / Parliament, ID card issued to employees of Scheduled Commercial / State / District

- Co-operative Banks, Senior Citizen / Freedom Fighter ID card issued by Government, Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI, Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL), Any other photo ID card issued by Central Government / State Governments / Municipal authorities / Government organizations like ESIC / EPFO.
- SIP auto debit facility is available only on specific dates. Post dated SIP cheques should be dated either the 5th or 15th or 25th of every month/quarter.
- For Systematic Transfer Plan (STP), kindly provide the STP amount you would like to Transfer, the frequency and date. The dates should be either the 1st business day of the week or the 5th or 15th or 25th of every month/quarter. Minimum number of installments/ Amount - for weekly frequency - 12 installments with amount of Rs.1000 each, For monthly frequency - 6 installments with amount of Rs. 2000 each & for quarterly frequency - 4 installments with amount of Rs. 2500 each. All additional transfers will be in multiples of Rs. 100 thereafter.
- The date for Systematic Withdrawal Plan (SWP) is 25<sup>th</sup> of each month. Minimum balance in the scheme should be Rs.25,000 at the time of enrollment. Minimum amount for each withdrawal should be Rs. 1,000 and in multiples of Re. 1 thereafter for a minimum period of 6 months.
- Investor will not hold IDBI Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS.
- IDBI Asset Management Ltd., IDBI Mutual Fund, Registrars, and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc., incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
- Please refer the Key Information Memorandum and detailed Schemes Information Document (SID) for applicable NAV, risk factors, load and other information on respective Scheme/Plan before investing.
- New Investors need to submit an application form and cheque (for the first instalment) in addition to the Auto Debit Form. Existing investors are required to submit the Transaction Form indicating the existing folio number and SIP details along with a cheque (for the first instalment) in addition to this form.
- Investor can choose to discontinue this facility by giving 15 days written notice to our Branch Offices of IDBI Asset Management Ltd.
- IDBI Mutual Fund/AMC, reserves the right to reject any application inter alia in the absence of fulfillment of regulatory requirements.

## POINT OF ACCEPTANCE OF APPLICATION FORM

**OUR BRANCHES (During NFO & Ongoing Offer):** **Ahmedabad:** IDBI Mutual Fund, IDBI Complex, 1st Floor, Near Lal Bungalow, Off CG Road, Ahd- 380 006. **Bangalore:** IDBI Mutual Fund, IDBI House, 3rd Floor, No.58, Mission Road, Bangalore- 560 027. **Chennai:** IDBI Mutual Fund,, Ground Floor, "Lancor Westminster" Bldg., Old No.108, New No.70, Dr. Radhakrishnan Salai, Mylapore, Chennai - 600 004. **Chandigarh:** IDBI Mutual Fund, IDBI Bank Ltd., 3rd floor, SCO 72/73 Bank Square, sector -17B, Chandigarh -160017. **Delhi:** IDBI Mutual Fund, IDBI Bank, 5th Floor, Red Cross Building, Red Cross Road, Parliament Street, New Delhi-110 001. **Hyderabad:** IDBI Mutual Fund, 3<sup>rd</sup> Floor 5-9-89/1 Chapel Road, Hyderabad-500001. **Indore:** IDBI Mutual Fund, IDBI Bank Ltd., Ground Floor, Alankar Chambers, Ratlam kothi, A. B. Road, Indore-452001. **Kolkata:** IDBI Mutual Fund, IDBI House, 6th floor, 44, Shakespeare Sarani, Kolkata 700 017. **Kochi:** IDBI Mutual Fund, IDBI Bank Corporate Office, Near Passport Office, Panampally Nagar, P. B. No. 4253, Kochi-680 366. **Lucknow:** IDBI Mutual Fund, IDBI Bank, 2 M G Marg, Kisan Sekhari Bhawan, Hazratganj, Lucknow -226001. **Mumbai:** IDBI Mutual Fund, IDBI Bldg., 2nd floor, Plot No.39 - 41, Sector-11, CBD Belapur, Navi Mumbai - 400 614. **Pune:** IDBI Mutual Fund, IDBI House, 4th Floor, Dnyaneshwar Paduka Chowk, SC Road, Shivaji Nagar, Pune-411 004.

## CAMS INVESTOR SERVICE CENTRES

**During NFO:** **Agartala:** Advisor Chowmuhani (Ground Floor), Krishnanagar, Agartala, Tripura, Agartala 799001. **Agra:** No. 8, II Floor, Maruti Tower, Sanjay Place, Uttarpradesh, Agra 282002. **Ahmedabad:** 402-406, 4th Floor - Devpath Building, Off C G Road, Behind Lal Bungalow, Ellis Bridge, Gujarat, Ahmedabad 380 006. **Ahmednagar:** 203-A, Mutha Chambers, Old Vasant Talkies, Market Yard Road, Ahmednagar, Maharashtra, Ahmednagar 414 001. **Ajmer:** Shop No.S-5, Second Floor, Swami Complex, Rajasthan, Ajmer 305001. **Akola:** Opp. RLT Science College, Civil Lines, Maharashtra, Akola 444001. **Aligarh:** City Enclave, Opp. Kumar Nursing Home, Ramghat Road, U.P., Aligarh, 202001. **Allahabad:** 30/2, A&B, Civil Lines Station, Besides Vishal Mega Mart, Strachey Road, Uttarpradesh, Allahabad 211001. **Alleppey:** Bldg. No. VIII / 411, C C N B Road, Near Pagoda Resort, Chungom, Kerala, Alleppey 688011. **Alwar:** 256A, Scheme No:1, Arya Nagar, Rajasthan, Alwar 301001. **Amaravati:** 81, Gulsham Tower, 2<sup>nd</sup> Floor, Near Panchsheel Talkies, Maharashtra, Amaravati 444601. **Ambala:** Opposite PEER, Bal Bhawan Road, Ambala, Haryana 721 134003. **Amritsar:** 378-Majithia Complex, 1st Floor, M. M. Malviya Road, Punjab, Amritsar 143001. **Anand:** 101, A.P.Tower, B/H, Sardhar Gunj, Next to Nathwani Chambers, Gujarat, Anand 388001. **Anantapur:** 15-570-33, I Floor, Pallavi Towers, Anantapur, A.P., Anantapur 515 001. **Ankleshwar:** G-34, Ravi Complex,, Valia Char Rasta, G.I.D.C., Gujarat, Ankleshwar- Bharuch 393 002. **Asansol:** Block G 1<sup>st</sup> Floor, P C Chatterjee Market Complex, Rambandhu Talab P O Ushagram, West Bengal, Asansol 713303. **Aurangabad:** Office No. 1, 1st Floor, Amodi Complex, Juna Bazar, Maharashtra, Aurangabad 431001. **Balasore:** B C Sen Road, Orissa, Balasore, 756001. **Bangalore:** Trade Centre, 1st Floor, 45, Dikensen Road, (Next to Manipal Centre ), Karnataka, Bangalore 560 042. **Bareilly:** F-62-63, Butler Plaza, Civil Lines, Bareilly, U.P., Bareilly 243001. **Belgaum:** 1st Floor, 221/2A/1B, Vaccine Depot Road, Near 2nd Railway gate,, Tilakwadi,, Karnataka, Belgaum 590006. **Bellary:** No. 18A, 1st Floor, Opp. Ganesh Petrol Pump, Parvathi Nagar Main Road, Karnataka, Bellary 583103. **Berhampur:** First Floor, Upstairs of Aaroon Printers, Gandhi Nagar Main Road, Orissa, Berhampur 760001. **Bhagalpur:** Krishna, I Floor, Near Mahadev Cinema, Dr.R.P.Road, Bhagalpur, Bihar, Bhagalpur 812002. **Bhatinda:** 2907 GH,GT Road, Near Zila Parishad, Bhatinda, Punjab, Bhatinda 151001. **Bhavnagar:** 305-306, Sterling Point, Waghawadi Road, Opp. HDFC Bank, Gujarat, Bhavnagar 364002. **Bhilai:** 209, Khichariya Complex, Opp IDBI Bank, Nehru Nagar Square, Chhattisgarh, Bhilai 490020. **Bhilwara:** Indraparstha tower, Second floor, Shyam ki sabji mandi, Near Mukharji garden, Rajasthan, Bhilwara 311001. **Bhopal:** Plot No.13, Major Shopping Centre, Zone-1, M.P.Nagar, Madhya Pradesh, Bhopal 462011. **Bhubaneswar:** Plot No - 111, Varaha Complex Building, 3rd Floor, Station Square, Kharvel Nagar, Unit 3, Orissa, Bhubaneswar 751 001. **Bhuj:** Data Solution, Office No:17, I St Floor, Municipal Building Opp Hotel Prince, Station Road, Gujarat, Bhuj - Kutch 370001. **Bhusawal (Parent: Jaigaon TP):** 3, Adelade Apartment, Christain Mohala, Behind Gulshan-E-Iran Hotel, Amardeep Talkies Road, Bhusawal, Maharashtra, Bhusawal 425201. **Bikaner:** F 4, 5 Bothra Complex, Modern Market, Bikaner, Rajasthan, Bikaner 334001. **Bilaspur:** Beside HDFC Bank, Link Road, Bilaspur, Chattisgarh, Bilaspur 495 001. **Bokaro:** Mazzanine Floor, F-4, City Centre, Sector 4,, Bokaro Steel City, Jharkhand, Bokaro 827004. **Burdwan:** 399, G T Road, Basement of Talk of the Town, West Bengal, Burdwan 713101. **Calicut:** 29/97G 2nd Floor, Gulf Air Building, Mavoor Road, Arayidathupalam, Kerala, Calicut 673016. **Chandigarh:** SCO 80-81, Illrd F, Sector 17 C, Punjab, Chandigarh 160 017. **Chandrapur:** Above Mustafa Decor, Hakimi Plaza, Near Jetpura Gate, Near Bangalore Bakery, Kasturba Road, Chandrapur, Maharashtra,, Chandrapur 442 402. **Chennai:** Ground Floor No.178/10, Kodambakkam High Road, Opp. Hotel Palmgrove, Nungambakkam, Tamil Nadu, Chennai 600 034. **Chittorgarh:** 187 Rana Sanga Market, Rajasthan, Chittorgarh 312001. **Cochin:** 40 / 9633 D, Veekshanam Road, Near International hotel, Kerala, Cochin 682 035. **Coimbatore:** Old # 66 New # 86, Lokamanya Street (West), Ground Floor, R.S.Puram, Tamil Nadu, Coimbatore 641 002. **Cuttack:** Near Indian Overseas Bank, Cantonment Road, Mata Math, Orissa, Cuttack 753001. **Darbhanga:** Shahi Complex, 1st Floor, Near RB Memorial hospital, V.I.P. Road, Benta, Lheriasarai, Darbhanga, Bihar, Darbhanga 846001. **Davenegere:** 13, 1st Floor, Akkamahadevi Samaj Complex, Church Road, P.J.Extension, Karnataka, Davenegere 577002. **Dehradun:** 204/121 Nari Shilp Mandir Marg, Old Connaught Place, Uttaranchal, Dehradun 248001. **Dhanbad:** Urmila Towers, Room No: 111 (1st Floor), Bank More, Jharkhand, Dhanbad 826001. **Dhule:** H. No. 1793 / A, J.B. Road, Near Tower Garden, Maharashtra, Dhule 424 001. **Durgapur:** 4/2, Bengal Ambuja Housing Development Ltd., Ground Floor, City Centre, West Bengal, Durgapur 713 216. **Erode:** 197, Seshaiyer Complex, Agharam Street, Tamil Nadu, Erode 638001. **Faizabad:** 64 Cantonment, Near GPO, Faizabad, Uttar Pradesh, Faizabad 224001. **Faridhabad:** B-49, 1st Floor, Nehru Ground, Behind Anupam Sweet House, NIT, Haryana, Faridhabad 121001. **Ghaziabad:** 113/6 I Floor, Navyug Market, Uttarpradesh, Ghaziabad 201001. **Goa:** No. 108, 1st Floor, Gurudutta Bldg, Above Weekender, M G Road, Goa, Panaji (Goa) 403 001. **Gondia:** Shri Talkies Road, Maharashtra, Gondia 441601. **Gorakhpur:** Shop No. 3, Second Floor, The Mall, Goss Road, A.D. Chowk, Bank Road, Uttarpradesh, Gorakhpur 273001. **Guntur:** Door No 5-38-44, 5/1 BRODIPET, Near Ravi Sankar Hotel, Andhra Pradesh, Guntur 522002. **Gurgaon:** SCO - 16, Sector - 14, First floor, Haryana, Gurgaon 122001. **Guwahati:** A.K. Azad Road,, Rehabari, Assam, Guwahati 781008. **Gwalior:** 1<sup>st</sup> Floor, Singhal Bhavan, Daji Vitthal Ka Bada, Old High Court Road, Madhya Pradesh, Gwalior 474001. **Haldia:** 2nd Floor, New Market Complex, Durgachak Post Office, Purba Medinipur District, Haldia, West Bengal, Haldia, 721 602. **Haldwani:** Durga City Centre, Nainital Road, Haldwani, Uttarakhand, Haldwani 263139. **Himmatnagar:** D-78 First Floor, Near Durga Bazar, Near Railway Crossing, Himmatnagar, Gujarat, Himmatnagar 383 001. **Hisar:** 12, Opp. Bank of Baroda, Red Square Market, Hisar, Haryana, Hisar 125001. **Hoshiarpur:** Near Archies Gallery, Shimla Pahari Chowk, Hoshiarpur, Punjab, Hoshiarpur 146 001. **Hosur:** Shop No.8 J D Plaza, OPP TNEB Office, Royakotta Road, Tamil Nadu, Hosur 635109. **Hubli:** 206 & 207. 1st Floor, 'A' Block, Kundagol Complex, Opp Court, Club road, Karnataka, Hubli 580029. **Hyderabad:** 208, II Floor, Jade Arcade, Paradise Circle, Andhra Pradesh, Secunderabad 500 003. **Ichalkarnaji (Parent Kolhapur):** 12/178, Behind Congress Committee Office, Ichalkarnaji 416 115. **Indore:** 101, Shalimar Corporate Centre, 8-B, South tukogunj, Opp.Greenpark, Madhya Pradesh, Indore 452 001. **Jabalpur:** 975,Chouksey Chambers, Near Gitanjali School, 4th Bridge, Napier Town, Madhya Pradesh, Jabalpur 482001. **Jaipur:** R-7, Yudhisthir Marg ,C-Scheme, Behind Ashok Nagar Police Station, Rajasthan, Jaipur 302 001. **Jalandhar:** 367/8,

(PT.O)





**POWER OF ATTORNEY (POA)**

POA Name :

Address

City  State  Pin Code

PAN  KYC  Yes  No - If investment is being made by a constitutional Attorney, Please submit the notarized copy of the POA

**IDBIMF PERSONAL IDENTIFICATION NUMBER (IPIN) (Please ✓)**

I / We being unit holder(s) of IDBI Mutual Fund (Fund) schemes do hereby apply for the facility for effecting online transactions over the internet with respect to my investment with IDBI Mutual Fund. Please send me the PIN agreement form.

**INVESTMENT DETAILS AND PAYMENT DETAILS (Payment through Cash/Outstation Cheques not accepted)**

(Please ✓) For complete information on Investment details, please refer to "Plan & Options" in the KIM.

Scheme Name : IDBI NIFTY JUNIOR INDEX FUND	Plans : <input type="checkbox"/> Growth <input type="checkbox"/> Dividend	Options: <input type="checkbox"/> Dividend Reinvestment <input type="checkbox"/> Dividend payout
Investment Amount (Rs.) <input type="text"/>	DD Charges if any (Rs.) <input type="text"/>	Net Amount (Rs.) <input type="text"/>
Mode of Payment (Please ✓)		Cheque <input type="checkbox"/> DD <input type="checkbox"/> Funds Transfer <input type="checkbox"/>

Net Amount ( in Words )

**Cheque / D.D. to be crossed "Account Payee" only and should be drawn payable to: - "IDBI Nifty Junior Index Fund"**

Drawn on Bank

Branch & City  Chq. / DD No  Date

\*A/c Type - (✓) S/B  NRE\*  Current  NRO  FCNR\*  \*Kindly provide photocopy of the payment Instrument or Foreign Inward Remittance Certificate (FIRC) evidencing source of funds

**SIP ENROLMENT DETAILS**

SIP Amount (Rs.)  Enrolment Period Start Month  -  End Month  -  Frequency (Please ✓)  Monthly  Quarterly

PAYMENT MECHANISM (✓)  Option I : Debit through ECS / Auto Debit facility (Tick this box and fill up SIP ECS / Auto Debit Facility Form)  
 Option II : Through Post Dated Cheques - Total Cheques \_\_\_\_\_ Cheque Nos. From  To

Drawn on Bank \_\_\_\_\_ Branch & City \_\_\_\_\_

**NOMINATION DETAILS (OPTIONAL) [Minor / HUF / POA Holder / Non Individuals cannot Nominate]**

I / We \_\_\_\_\_ do hereby nominate the undermentioned Nominee(s) to receive the units to my / our credit in this folio no. in the event of my / our death. I / We also understand that all payments and settlements made to such Nominee(s) and Signature of the Nominee(s) acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustees.

No.	Nominee(s) Name	Date of Birth (in case of Minor)	
1		D D - M M - Y Y Y Y	
2		D D - M M - Y Y Y Y	
No.	Name of the Guardian (in case of Minor)	Relationship with Unit Holder*	% of Share
1			
2			

Signature of Guardian (in case of Minor)

\* If the percentage of share is not mentioned then the claim will be settled equally amongst all the indicated nominee(s)

**DECLARATION**

I / We have read and understood the contents of the SID and Key Information Memorandum of the Scheme. I/We hereby apply to the IDBI Mutual Fund for allotment of units of the Scheme, as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I / We hereby confirm and certify that the source of these funds is not directly / indirectly a result of "proceeds of crime" as defined in "The Prevention of Money Laundering Act, 2002" and we undertake to provide all necessary proof / documentation, if any, required to substantiate the facts of this undertaking. I have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We authorize the Fund to disclose details of my/our account and all my/our transactions to the intermediary whose stamp appears on the application form. I also authorize the Fund to disclose details as necessary, to the Fund's and investor's bankers for the purpose of effecting payments to me / us.

Applicable to NRIs only : I/We confirm that I am/we are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels or from funds in my/our Non-Resident External / Ordinary Account / FCNR / NRSR Account. Investment in the scheme is made by me / us on:  Repatriation basis  Non Repatriation basis.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

First / SoleApplicant / Guardian	Second Applicant	Third Applicant
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Scheme Name :IDBI Nifty Junior Index Fund Plan: \_\_\_\_\_ Option: \_\_\_\_\_

Cheque / DD No. : \_\_\_\_\_ Date : \_\_\_\_\_ Amount : Rs. \_\_\_\_\_ Bank and Branch: \_\_\_\_\_

**REGISTRAR & TRANSFER AGENTS**

Computer Age Management Services Private Limited (CAMS)  
SEBI Registration Number: INR000002813,  
148, Old Mahablipuram Road, Okkiyam, Thuraipakka, Chennai 600 096, Tamil Nadu  
Tel: 044-30407000 Fax: 044-24581750



Form No.

IDBI Building, 2<sup>nd</sup> Floor, Plot No. 39-41, Sector 11, CBD Belapur, Navi Mumbai 400 614.  
Website : www.idbimutual.co.in

**Systematic Investment Plan (SIP)/Systematic Transfer Plan (STP)/Systematic Withdrawal Plan (SWP)**

ARN Code & Name	Sub Distributor /Branch Code	Employee Code	Bank Serial No. / Bank Stamp / Receipt Date
ARN - 25682			

Please  any one only  Normal SIP  Micro SIP  STP  SWP

**Investor and investment details**

Sole / First Investor Name

PAN No.  Folio No.(For Existing Investor)

Scheme  Plan

Option & Sub Option

**Systematic Investment Plan (SIP) details**

Each SIP Amount (Rs.)  Frequency:  Monthly /  Quarterly

First SIP Cheque No.:  (Note: Cheque should be drawn on bank details provided below)

SIP Frequency Date:  5th /  15th /  25th of the month (1st month of the quarter)

SIP Period : Start Month  End Month

(Note: Please allow minimum one month for auto debit to register and start).  
I/We hereby, authorize IDBI Mutual Fund and their authorized service providers (Computer Age Management Services Private Limited (CAMS)) to debit my/our following bank account by ECS (Debit Clearing) / auto debit to account for collection of SIP payments.

**Systematic Transfer Plan (STP)**

I/We would like to switch: From Scheme/Option  To Scheme/Option

Each STP Amount Rs.  Frequency:  Weekly (1st business day of the week)/  Monthly /  Quarterly

STP Period : Enrolment Start Month  End Month  Date :  5th /  15th /  25th of the month/quarter

**Systematic Withdrawal Plan (SWP)**

Each SWP Amount Rs.  Scheme Name/Option

SWP Period : Enrolment Start Month  End Month

**Particulars of bank account**

Payment Mechanism of SIP :  ECS  Post dated cheques  
(Please  any one only)

Accountholder Name as in Bank Account

Bank Name  Branch

City  PIN code

For ECS :  
Account Number  Account Type  Savings  Current  Cash Credit  
9 Digit MICR Code  (Please enter the 9 digit number that appears after your cheque number)

For Cheque :  
Total number of Cheques  Cheque No. : From  To

I/We hereby, declare that the particulars given above are correct and express my willingness to make payments referred above through participation in ECS/Auto Debit. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information. I/We would not hold the user institution responsible. I/We will also inform IDBI Mutual Fund about any changes in my bank account I/We have read and agreed to the terms and conditions mentioned overleaf.

\_\_\_\_\_  
First Account Holder's Signature                      Second Account Holder's Signature                      Third Account Holder's Signature

**For office use only (not to be filled in by investor)**

Recorded on \_\_\_\_\_ Scheme Code

Recorded by \_\_\_\_\_ Credit Account Number

Bank use Mandate Ref. No. \_\_\_\_\_ Customer Ref. No. \_\_\_\_\_

**Authorisation of the Bank Account Holder (to be signed by the Account Holder)**

This is to inform that I/We have registered for the RBI's Electronic Clearing Service (Debit Clearing) / Auto Debit Facility and that my payment towards my investment in IDBI Mutual Fund shall be made from my/our below mentioned bank account with your bank. I/We authorize the representative, (Computer Age Management Services Private Limited (CAMS)) carrying this ECS/Auto Debit to account mandate Form to get it verified and executed.

**Bank Account Number**

\_\_\_\_\_  
First Account Holder's Signature (As in Bank Records)                      Second Account Holder's Signature (As in Bank Records)                      Third Account Holder's Signature (As in Bank Records)

Fear-Here