



**7. INVESTMENT DETAILS (Refer Instruction 7)**

Cheque should be made in favour of "QUANTUM TAX SAVING FUND" (Please ✓ the appropriate option)

Growth       Dividend Reinvestment       Dividend Payout Option

Investment Amount	DD Charges (if applicable)	Net Amount (Cheque / DD Amount)
Rs. <input style="width: 100%;" type="text"/>	Rs. <input style="width: 100%;" type="text"/>	Rs. <input style="width: 100%;" type="text"/>

Mode of Payment:  Switch in/Cheque/Demand Draft/ (Strike off whichever is not applicable)      Instrument No.       Dated

Bank       Branch       City

Drawn on

Type of Bank Account (Please ✓)

Resident :       Current       Savings

NRI : Non Repatriation basis       NRE \*       NRO       FCNR\*       Foreign Inward Remittance \*

NRI : Repatriation basis       NRE \*       FCNR\*       Foreign Inward Remittance \*

FII :       Non Resident Rupee Account       Foreign Inward Remittance      \* Please refer Item 9

**8. NOMINATION DETAILS (Refer Instruction 8)**

I / We

do hereby nominate the undermentioned person to receive the Units allotted to my / our credit against this application / in my folio in the event of my / our death. I / We also understand that all payments and settlements made to such Nominee and Signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustees.

Name and Address of Nominee	To be furnished in case Nominee is a Minor (strike out if not)
Name <input style="width: 100%;" type="text"/>	Name of Guardian <input style="width: 100%;" type="text"/>
Address <input style="width: 100%;" type="text"/>	Address of Guardian <input style="width: 100%;" type="text"/>
<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>

Date of Birth (in case Nominee is a minor)       Signature of Guardian

SIGNATURE(S) ALL APPLICANTS MUST SIGN HERE

x	x	x
Sole / 1st Applicant	2nd Applicant	3rd Applicant

**9. DECLARATION AND SIGNATURES (Refer Instruction 9)**

I / We have read and understood the Instructions, the Scheme Information Document and the Key Information Memorandum of Quantum Tax Saving Fund (Scheme). I / We hereby apply for allotment / purchase of Units in the Scheme indicated above and agree to abide by the terms and conditions applicable thereto. I / We hereby declare that I / We / am / are authorised to make this investment in the abovementioned Scheme and that the amount invested in the Scheme is through legitimate sources only and legally belong to me / us and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulation, Notification or Directions issued by any regulatory authority in India from time to time.

I / We hereby confirm that I / We have applied / completed the KYC registration process with your appointed agency - CVL.

I / We hereby authorise Quantum Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s) and Quantum Mutual Fund's bank(s) or any other regulatory authority.

I / We authorise you to make available details of my investments / redemption in your scheme, in any form and any other relevant data to \_\_\_\_\_ to facilitate them to provide me value added information on my portfolio with your Fund.

I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We declare that the information given in this application form is correct, complete and truly stated.

\* I / We confirm that I am / We are Non-Resident(s) of Indian Nationality/origin but not a person residing in Canada or a United States person within the meaning of Regulation(s) under the United States Securities Act of 1933, as amended from time to time and that I / We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/FCNR Account. I / We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/FCNR Account.

I / We hereby declare that all the particulars given herein are true, correct and complete to the best of my / our knowledge and belief. I further agree not to hold Quantum Mutual Fund liable for any consequences in case of any of the above particulars being false, incorrect or incomplete. I hereby undertake to promptly inform the Quantum Mutual Fund of any changes to the information provided hereinabove and agree and accept that the Quantum Mutual Fund, their authorized agents & representatives are not liable or responsible for any loss, costs, damages arising out of any actions undertaken or activities performed by them on the basis of information provided by me as also due to not intimating/delay in intimating such changes.

I / We hereby authorize Quantum Mutual Fund to disclose, share, remit in any form, mode or manner all / any of the information provided by me to any Regulatory Authority(ies) including Financial Intelligence Unit, India (FIU-IND) including all changes, updates to such information as and when provided by me without any obligation of advising me/us of the same.

I / We hereby agree to provide any additional information or documents as may be required by the Quantum Mutual Fund or its agents in connection with this application.

SIGNATURE(S) ALL Applicants MUST SIGN HERE (Please refer to the instruction / checklist and ensure that all relevant sections are completed)      Dated : \_\_\_\_\_

x	x	x
Sole / 1st Applicant	2nd Applicant	3rd Applicant

**10. LIST OF ATTACHMENTS (Please tick the documents attached alongwith the form) (Refer instruction 10)**

<input type="checkbox"/> Resolution /Authorisation to invest <input type="checkbox"/> List of Authorised Signatories with Specimen Signature(s) <input type="checkbox"/> Memorandum / Articles of Association <input type="checkbox"/> Trust Deed <input type="checkbox"/> Bye - Laws	<input type="checkbox"/> Partnership Deed <input type="checkbox"/> Overseas Auditor's Certificate <input type="checkbox"/> Notarised Power of Attorney <input type="checkbox"/> PAN Copy duly certified <input type="checkbox"/> KYC Acknowledgement Letter	Total number of attachments To be filled in by applicant <input style="width: 100%;" type="text"/> Office to verify the said Nos. <input style="width: 100%;" type="text"/>
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**INVESTOR SERVICES CENTRES**  
**QUANTUM ASSET MANAGEMENT COMPANY PRIVATE LIMITED**  
 107, REGENT CHAMBERS, 1ST FLOOR, NARIMAN POINT, MUMBAI - 400 021  
 Phone : 91-22 - 22875923 Fax : 91-22 - 22854318 Website : www.QuantumAMC.com  
 For Information - Email : info@QuantumAMC.com For Investor Grievances / Complaints - Email : investorrelations@QuantumAMC.com  
 If you have any queries with regard to completing this form, call Quantum, on 1800 - 22 - 3863 (toll-free) or Tel.: 022-22829414 Lines are open from 9:30 A.M. to 6:00 P.M. on all business days

**KEY INFORMATION MEMORANDUM**  
**Quantum Tax Saving Fund**  
 (an open ended equity linked savings scheme)

**Offer for Units at NAV based prices upon re-opening**

**Scheme Re-opens for continuous sale and repurchase on December 31, 2008**

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. **For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website [www.Quantumamc.com](http://www.Quantumamc.com)**

**The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.**

<b>Investment Objective</b>	The investment objective of the Scheme is to achieve long-term capital appreciation by investing primarily in shares of companies that will typically be included in the BSE 200 index and are in a position to benefit from the anticipated growth and development of the Indian economy and its markets. However, there is no assurance that the investment objective of the Scheme will be achieved.		
<b>Asset Allocation Pattern of the scheme</b>	Types of Instruments	Normal Allocation (% of Net Assets)	
		Minimum	Maximum
	Equity and equity-related Securities	80	100
	Debt and money market instruments	0	20
<b>Risk Profile of the Scheme</b>	<p>Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:</p> <p>By virtue of requirements under the ELSS, Units issued under the QTSF will not be redeemed until the expiry of 3 (three) years from the date of their allotment. The ability of an investor to realise returns on investments in QTSF is consequently restricted for the first three years. Redemption will be made prior to the expiry of the aforesaid 3 (three) year period only in the event of the death of a Unit Holder, subject to the Units having been held for a period of 1 (one) year from the date of their allotment.</p> <p>The Scheme proposes to invest primarily in equity and equity related securities. Equity Securities and equity-related Securities are volatile and prone to price fluctuations on a daily basis. The liquidity of investments made by the Scheme may be restricted by trading volumes and settlement periods. This may impact the ability of the Unit Holders to redeem their Units. In view of this, the Trustee has the right, in its sole discretion to limit Redemptions (including suspending Redemption) under certain circumstances. Settlement periods may be extended significantly by unforeseen circumstances. The inability of the Scheme to make intended Securities purchases, due to settlement problems, could cause the Scheme to miss certain investment opportunities. Similarly, the inability to sell Securities held in the Scheme's portfolio could result, at times, in potential losses to the Scheme, should there be a subsequent decline in the value of Securities held in the Scheme's portfolio. Investments in equity and equity related Securities involve a degree of risk and investors should not invest in the Scheme unless they can afford to take the risk of losing their investment.</p> <p>The liquidity and valuation of the Scheme's investments due to its holdings of unlisted Securities may be affected if they have to be sold prior to the target date for disinvestment. Securities which are not quoted on the stock exchanges are inherently illiquid in nature and carry a larger liquidity risk in comparison with Securities that are listed on the exchanges or offer other exit options to the investors, including put options. The AMC may choose to invest in unlisted Securities that offer attractive yields within the regulatory limit. This may however increase the risk of the portfolio.</p>		
<b>Plans and Options</b>	The Scheme offers two Plans - Growth Plan & Dividend Plan.	The Dividend Plan will in turn have two options, Dividend Payout Option and Dividend Re-investment Option.	
<b>Minimum Application Amount</b>	All Plans/options	Rs. 500 and in multiples of Rs. 500 thereafter	
<b>Minimum Additional Investment</b>	Additional Investment in all schemes would be Rs 500 and in multiples of 500 thereafter		
<b>Minimum Redemption Amount</b>	Rs. 500 or 50 Units Lock-in-period Redemption of Units can be made only after a period of three years (lock-in period) from the date of allotment of Units proposed to be redeemed as prescribed in the ELSS. The Trustee reserves the right to change the lock-in period prospectively from time to time, in the event of amendment(s) to the ELSS with respect to the lock-in period.		
<b>Despatch of Repurchase (Redemption) Request</b>	Within 10 working days of the receipt of the redemption request at the authorised centre of the Quantum Mutual Fund.		
<b>Benchmark Index</b>	The benchmark to evaluate the performance of the Scheme shall be the BSE 30 Total Return Index.		
<b>Dividend Policy</b>	The Trustee proposes to follow the following dividend distribution policy: In terms of SEBI Circular No. 1/64057/06 dated April 4, 2006, the Trustees shall fix the quantum of dividend and the record date (which is the date that will be considered for the purpose of determining the eligibility of investors) at their meeting. Dividends, if declared, will be paid (subject to deduction of tax at source, if any) to those Unitholders whose names appear in the Register of Unitholders on the notified record date. The AMC shall, within one calendar day of the decision by the Trustee, issue notice to the public, communicating the decision, including the record date. The record date shall be 5 calendar days after the issue of notice. Further the Trustee at its sole discretion may also declare interim dividend. However, it must be distinctly understood that the actual declaration of dividend and the frequency thereof will inter-alia, depend on the availability of distributable profits as computed in accordance with SEBI Regulations. The decision of the Trustee in this regard shall be final. There is no assurance or guarantee to Unitholders as to the rate of dividend distribution nor that dividends will be paid regularly. In order to be a Unitholder, an Investor has to be allotted Units against receipt of clear funds by the Scheme. On distribution of dividends, the NAV will stand reduced by the amount of dividend distributed and statutory levy, if any, at the close of business hours on record date."		

<b>Name of the Fund Manager</b>	Atul Kumar	
<b>Name of the Trustee Company</b>	Quantum Trustee Co. Pvt. Ltd.	
<b>Performance of the scheme:</b>	This scheme does not have any performance track record.	
<b>Expenses of the Scheme</b>	Entry load: NIL Exit load: NIL CDSC (if any): NIL	
<b>(i) Load Structure</b>		
<b>(ii) Recurring expenses</b>	First Rs. 100 crores of the average weekly net assets: 2.50% Next Rs. 300 crores of the average weekly net assets: 2.25% Next Rs. 300 crores of the average weekly net assets: 2.00% Balance: 1.75%	Actual expenses for the previous year : Not Applicable since this is a new scheme.
<b>Waiver of Load for Direct Applications</b>	Quantum Mutual Fund does not charge any entry load and sells its units directly to the investors.	
<b>Tax treatment for the Investors (Unitholders)</b>	Investor is advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.	
<b>Daily Net Asset Value (N A V) Publication</b>	The NAV is declared on all business days and will be published in 2 newspapers. NAV can also be viewed on <a href="http://www.quantumamc.com">www.quantumamc.com</a> and <a href="http://www.amfiindia.com">www.amfiindia.com</a>	
<b>For Investor Grievances please contact</b>	<b>Registrars &amp; Transfer Agents</b> <b>Karvy Computershare Pvt. Ltd.</b> Karvy Plaza, H. No. 8-2-596, Street No. 1, Banjara Hills, Hyderabad 500 034.	<b>Mr. Malay Vora</b> Investor Relations Officer 107, Regent Chambers, 1st Floor, Nariman Point, Mumbai - 400 021 Phone: (91) (22) 22875923 Fax: (91) (22) 22854318 E-mail: <a href="mailto:investorrelations@QuantumAMC.com">investorrelations@QuantumAMC.com</a>
<b>Unitholders' Information</b>	<p><b>Account Statement:</b></p> <p>For normal transactions (other than SIP/STP/SWP) during ongoing sales and repurchase: The AMC shall issue to the investor whose application (other than SIP/STP/SWP) has been accepted, an account statement specifying the number of units allotted (within 10 business days from the date of the transaction date. If a request is received from the Unitholders, the account statement reflecting the new or additional subscriptions as well as Redemptions/Switch of Units shall be dispatched within 10 business days from the receipt of the request to the Unitholder.</p> <ul style="list-style-type: none"> <li>● For those unitholders who have provided an e-mail address, the AMC will send the account statement by-mail. The unitholder may request for a physical account statement by writing/calling the AMC/ISC/R&amp;T to any of the official points of acceptance specified in this Scheme Information Document. The account statement reflecting the new or additional subscriptions as well as Redemptions/Switch of Units shall be dispatched within 5 business days from the receipt of the request, to the Unitholder for SIP transactions;</li> <li>● Account Statement for SIP, SWP and STP will be despatched once every quarter ending March, June, September and December within 10 working days of the end of the respective quarter.</li> <li>● A soft copy of the Account Statement shall be mailed to the investors under SIP/STP/SWP to their e-mail address on a monthly basis, if so mandated. However, the first Account Statement under SIP/STP/SWP shall be issued within 10 working days of the initial investment/transfer.</li> <li>● In case of specific request received from investors, Mutual Funds shall provide the account statement (SIP/STP/SWP) to the investors within 5 working days from the receipt of such request without any charges. Annual Account Statement:</li> <li>● The Mutual Funds shall provide the Account Statement to the Unitholders who have not transacted during the last six months prior to the date of generation of account statements. The Account Statement shall reflect the latest closing balance and value of the Units prior to the date of generation of the account statement.</li> <li>● The account statements in such cases may be generated and issued along with the Portfolio Statement or Annual Report of the Scheme. Alternately, soft copy of the account statements shall be mailed to the investors' e-mail address, instead of physical statement, if so mandated.</li> </ul> <p><b>Half yearly Disclosures: Portfolio / Financial Results-This is a list of securities where the corpus of the scheme is currently invested. The market value of these investments is also stated in portfolio disclosures:</b></p> <p>The Fund shall before the expiry of 1 month from the close of each half year, that is as on 31st March and 30th September, publish its unaudited financial results in one English daily newspaper circulating in the whole of India and in a newspaper published in the language of the region where the Head Office of the Fund is situated and update the same on the AMC's website at <a href="http://www.QuantumAMC.com">www.QuantumAMC.com</a> and on AMFI's website at <a href="http://www.amfiindia.com">www.amfiindia.com</a>. within 1 month from the close of each half year, in the formats as prescribed by SEBI.</p> <p>Further, the Fund shall also disclose the half-yearly scheme portfolios on its website at <a href="http://www.QuantumAMC.com">www.QuantumAMC.com</a> and on AMFI website (<a href="http://www.amfiindia.com">www.amfiindia.com</a>) in the prescribed format before the expiry of one month from the close of each half-year.</p> <p>The Fund shall before the expiry of one month from the close of each half year (31st March and 30th September) send to the Unitholders a complete statement of Plan's portfolios or if such statement is not sent to the Unitholders, it will be published by way of an advertisement in one English daily circulating in the whole of India and in a newspaper published in the language of the region where the head office of the mutual fund is situated.</p> <p><b>Half Yearly Results:</b></p> <p>The mutual fund and Asset Management Company shall before the expiry of one month from the close of each half year that is on 31st March and on 30th September, publish its unaudited financial results in one national English daily newspaper and in a regional newspaper published in the language of the region where the Head Office of the mutual fund is situated.</p> <p><b>Annual Report:</b></p> <p>Scheme wise Annual Report or an abridged summary thereof shall be mailed to all unitholders within four months from the date of closure of the relevant accounts year i.e. 31st March each year.</p>	

## INVESTOR SERVICE CENTRES

### QUANTUM AMC's OFFICE

#### QUANTUM ASSET MANAGEMENT COMPANY PRIVATE LTD.

107, Regent Chambers 1st floor, Nariman Point, Mumbai 400021  
Phone: 022- 2287 5923; Fax : 022-2285 4318, Toll Free No.: 1800-22-3863 (MTNL / BSNL)  
Email : investorrelations@QuantumAMC.com, Website : www.quantumAMC.com  
For Information - Email : info@QuantumAMC.com  
For Investors Grievances/Complaints - Email: investorrelations@QuantumAMC.Com

### KARVY COMPUTERSHARE OFFICES

- Ahmedabad** : Ms. Leela / Mr. Samir Dave  
Karvy Computershare Private Limited,  
201-202, Shail Building, 2nd Floor, Opp: Madhusudhan House  
Nr. Navrangpura Telephone Exchange, Navrangpura, Ahmedabad - 380 006  
Tel.: 079-26402967, 079-264000527, 079-264000528; Fax: 079-26565551  
E-mail: ahmedabadmfd@karvy.com
- Bangalore** : Mr. Raghu / Soumya  
Karvy Computershare Private Limited  
Surya Building, Ratna Avenue, No : 51/25, 1st Floor  
Richmond Road, Bangalore - 560 025  
Tel.: 080 - 32008452, 080 - 25320085; Fax: 080 - 25320086  
E-mail: bangaloremfd@karvy.com; raghu@karvy.com
- Chennai** : Mr. N V V Prasad / Mr. Dinesh Kumar  
Karvy Computershare Private Limited  
Flat No F11, First Floor, Akshya Plaza, (Erstwhile Harris Road)  
Opp Chief City Metropolitan Court, # 108, Adhithanar Salai, Egmore, Chennai - 600002  
Tel: 044 42028512, 044-42028513, 044-28587772, 044-28587781; Fax: 044 - 42028514  
E-mail: chennaiamfd@karvy.com, nvvprasad@karvy.com
- Hyderabad** : Mr. P Nageshwara Rao / Mr. G B K Murthy  
Karvy Computershare Private Limited  
8-2-596 Karvy Plaza, Avenue 4, Street No.1  
Banjara Hills, Hyderabad - 500 034  
Tel: 040 - 23312454 Ext : 124  
E-mail: pnrao.hyd@karvy.com; mfshyderabad@karvy.com
- Kolkata** : Mr. Raj Ramaswamy / Shushmitha  
Karvy Computershare Private Limited  
16 Jatin Bagchi Road, Kolkata - 700 029  
Tel: 033 - 24659297, 033 - 39518643, 033-24659263, 033 - 24635432, 033-24659267  
Fax: 033-2463-5432; 033-2463-5432  
E-mail: kolkatamfd@karvy.com / rajramaswamy@karvy.com
- Mumbai** : Mr. Uma Shankar, Mr. Sandeep Bhogle  
Karvy Computershare Private Limited  
DAS Chambers, Ground Floor, Opp. BSE & Next to Corporation Bank  
Dalal Street, Fort, Mumbai - 400 023  
Tel: 022 - 66235353; Fax: 022 - 66341966  
E-mail: mumbaimfd@karvy.com, sandeep@karvy.com
- New Delhi** : Mr. Jose Yohannan / Ms Sonaly, Mr. Michael George  
Karvy Computershare Private Limited  
2E / 23, Jhandewalan Extn, New Delhi - 110055  
Tel: 011- 43681700; Fax: 011- 43681710  
E-mail: delhimfd@karvy.com, michaelg@karvy.com, jose.y@karvy.com
- Pune** : Mr. Rakesh  
Karvy Computershare Private Limited  
Srinath Plaza, C Wing, Office No. 58 and 59  
3rd Floor, Dyaneshwar Paduka Chowk  
Survey No. 184/4, F C Road, Pune - 411004  
Tel: 020-25533795, 020-25532783, 020-25533592, 020 - 25533742  
E-mail: punemfd@karvy.com, dattaraj.w@karvy.com

**INSTRUCTIONS FOR FILLING UP THE APPLICATION FORM**

1. If you are a QLTFE or QLF Unitholder please provide your folio number in the space provided. If you provide the folio number you need not fill in the details required under instruction number 2, 3, 4, and 8.

**2. Sole/1st Applicant's Details**

- \* The Applicant's name and address including the PIN Code Number must be given in full (P.O. Box No. alone is not sufficient). Applications on behalf of minors should be signed by their guardian. Please write your name exactly as it appears in your PAN Card. In case of non-individual applicants, i.e. HUF/Companies/AOP/BOI/Trusts/Societies/FIIs etc., the name, address, E-Mail ID and telephone number of the contact person to whom the correspondence should be addressed to should be provided. In case the investor is a NRI/PIO/FII, an overseas address should also be provided, along with the local address. However, all correspondence shall be at the local address.
- \* PAN of Sole/First Applicant, Second Applicant and Third Applicant must be mentioned in all cases irrespective of the amount being invested. A photo copy of the PAN Card duly certified must be attached in case of investment below Rs.50,000/- by all applicants. The original to be provided for verification. Where original PAN Card is not provided for verification the copy of PAN Card must be certified as true by a Bank Manager or a Gazetted Officer, or an AMFI Registered Distributor or a Notary or any other Judicial Authority. Where the person making an application is a minor who does not have any income chargeable to income-tax, he shall quote the PAN of his/her father, mother or guardian, as the case may be. Any change in the status of the sole/1st applicant must be conveyed to the Fund in order to assist in accurate tax treatment of income arising from such investment. In the absence of the above PAN Copies the application shall be rejected. For investments of Rs.50,000/- and above please attach KYC acknowledgement letter of all applicants (Refer Instruction No. 5).

**3. Joint Applicant's Details**

- \* "Single" holding will entitle only the first holder to operate the account to the exclusion of the joint holders. "Anyone or Survivor" will entitle any one of the joint holders to operate the account. "Jointly" will allow operation of the account only if all the holders sign the instruction. If the mode of holding is left blank or is not clear, it will by default be treated as "jointly".

**4. Bank Account Details**

- \* As per the SEBI guidelines, it is mandatory for investors to mention in the application form, the details of their bank account in which income and/or redemption proceeds are to be credited. In the absence of the bank details, the application form will be rejected. The Mutual Fund/Asset Management Company will not be responsible for any loss arising out of fraudulent encashment of cheques and delay/loss in transit.
- \* If you wish to receive the payment proceeds by cheque please tick in the space provided. In all other cases, the fund will directly credit the investor's bank account using RBI's NEFT/RTGS facility, if the IFSC code is provided by the investor in the space provided in the Form. If the correct IFSC code is not provided by the investor, the fund may remit the payment proceeds by cheque.

**5. KYC Compliance**

- \* Presently, it is mandatory for all investors who wish to make an investment of Rs.50,000/- or above to obtain a KYC acknowledgement letter from the specified Points of Service's (POS's) of CDSL Ventures Limited (Central Agency), who have been authorized by the mutual fund industry for this purpose. The applicant must attach the KYC Acknowledgement Letter of all the applicants (Guardian's letter in case the applicant is Minor), along with the application for subscription. Applications for subscriptions of value of Rs. 50,000/- and above from applicant's who are not KYC registered with CVL or have not submitted their KYC Application with CVL may be rejected. Also, applicants / unit holders intending to apply for units / currently holding units and operating their Mutual Fund folios through a Power of Attorney (PoA) must ensure that the issuer of the PoA and the holder of the PoA have attached the KYC Acknowledgement Letter at the time of investment above the threshold. PoA holders are not permitted to apply for a KYC registration on behalf of the issuer of the PoA. Please refer to our website [www.QuantumAMC.com](http://www.QuantumAMC.com) and AMFI website [www.amfiindia.com](http://www.amfiindia.com) for the KYC compliance application form and POS's of the central agency where the completed forms and the relevant documents are to be submitted.

**6. E-mail Communication**

- \* Investors who wish to receive documents such as Accounts Statements, News Letters etc. through E-mail in lieu of physical documents need to indicate the same by ticking the box (in Item 6) in the application form.

**7. Investment Details**

- \* The Scheme offers two plans. Growth plan and Dividend plan.
- \* Application should be for a minimum amount of Rs. 500/- and in multiples of Rs. 500/- thereafter. There is no maximum limit.
- \* Resident Investors  
Payment should be made by a single Cheque/DD payable locally, in the city where the official points of acceptance at which the application is submitted, is located. The Cheque/DD should be crossed, marked "Account payee" and drawn in favour of "Quantum Tax Saving Fund". Application form along with cheque/DD/Pay Order can be submitted at any of the official points of acceptance of Quantum Mutual Fund listed in the KIM. In case an applicant is located in a place where there is no designated collection centre, the completed application with a Bank Draft/Pay Order for the investment amount (net of Demand Draft charges) may be forwarded to the Quantum Asset Management Company Private Limited office in Mumbai.  
No cash, money orders, outstation cheques, post dated cheques and postal orders will be accepted.  
Bank charges for outstation demand drafts will be borne by the AMC and will be limited to the bank charges stipulated by the Indian Banks Association, if a demand draft issued by a bank in a place where there is no ISC/Collection Centre provided for the investors. In all other cases, the AMC will not accept any request for refund of demand draft charges. Please mention the PAN and name of the applicant(s) on the reverse of the cheque/demand draft tendered with the application.
- \* NRIs/FIIs  
Repatriation Basis – In the case of NRIs, payment may be made either by inward remittance through normal banking channels or out of funds held in their Non-Resident (External) Rupee Account (NRE)/Foreign Currency (Non-Resident) Account (FCNR). FIIs

shall pay their subscription either by inward remittance through normal banking channels or out of funds held in Foreign Currency Account or Non-Resident Rupee Account maintained by the FII with a designated branch of an authorised dealer.  
Non Repatriation Basis – In the case of NRIs, payment may be made either by inward remittance through normal banking channels or out of funds held in their NRE/FCNR/ Non-Resident Ordinary Rupee Account (NRO)/Non-Resident (Special) Rupee Account (NRSR). Please indicate by ticking the relevant account from which payment is being made.

**8. Nomination Details**

- \* Individual applicant/s can assign a nominee to be entitled to receive the investment upon the death of the sole or all unitholder(s). If the units are held jointly, all joint holders must sign at the place provided in item 8 in the application form. However persons applying on behalf of a minor or on Power of Attorney or Non Individual Applicant including HUFs cannot nominate. The Nominee would be entitled to receive the amounts to the credit of the Unitholder(s) in event of his/her death. All payments and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC/Mutual Fund/Trustees.
- \* A minor can be nominated. In such a case the unitholder must provide the name and address of the guardian of the minor nominee. Nomination shall not be a Trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of HUF or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange control regulations in force, from time to time.
- \* Nomination in respect of Units stands rescinded upon the transfer of units or upon cancellation of the nomination by the sole or joint unitholders who executed the original nomination.

**9. Declaration & Signatures**

- \* Signatures should be in English or in any other Indian language. Thumb impressions must be attested by a Judicial Magistrate/Notary Public under his/her official seal.
- \* In case the application is made under a Power of Attorney (PoA), the original registered Power of Attorney or a certified copy of the PoA, duly notarised should be submitted with the application. The PoA document should contain the signatures of both the Applicant & the constituted Attorney. If the Power of Attorney is not received along with the application, the application is liable to be rejected.
- \* In case of HUF, the Karta should sign on behalf of the HUF. Authorised signatories, signing on behalf of a Co./Body Corp./Society/Trust etc., should sign under their official designation and stamp and a list of Authorised Signatories with their names & designations duly certified/attested by the bankers should be attached with the application form.

**10. List of Attachments**

- \* You are requested to enclose the relevant documents as listed in the check list of accompanying documents given below depending on the applicant's status and tick in the box against the document being attached.

**11. General Instructions**

- \* Please read the Key Information Memorandum and the Scheme Information Document and Statement of Additional Information carefully before investing. All applicants are deemed to have read, understood and accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering payment.
- \* The application form must be filled legibly in English in BLOCK letters in black or any dark coloured ink.
- \* Applicants should countersign wherever corrections or over writings are made on the application or cheque.
- \* All applications are accepted subject to detailed scrutiny and verification. Applications which are not complete in all respects are liable for rejection, either at the collection point itself or subsequently after detailed scrutiny/verification at the back office of the registrars.
- \* Application made by a Limited Company or a Body Corporate or a registered Society or a Trust, should be accompanied by a copy of the relevant resolution or authority to make the application, as the case may be, along with a certified copy of the Memorandum and Articles of Association/Trust Deed/Bye Laws/Partnership Deed, whichever is applicable.
- \* All communications and payments will be made to the sole/first applicant or to the Karta in case of HUF.
- \* While processing the redemption/switch out request in case the AMC/Registrar come across a signature mismatch, then the AMC/Registrar reserves the right to process the redemption only on the basis of supporting documents confirming the identity of the investors. List of such documents would be notified by AMC from time to time on its website.
- \* In case of non-receipt of account statement within 30 days of submission of the application forms, please contact the Investor Service Centre listed in the KIM. You could also send an email to [investorelations@QuantumAMC.com](mailto:investorelations@QuantumAMC.com).
- \* It is expressly understood that the investor/Unitholder has the express authority from the relevant Constitution to invest in the Units of the Fund and the AMC/Trustees/Fund would not be responsible if the investment is ultra vires the relevant Constitution, such as the Memorandum & Articles of Association and/or Bye Laws and/or Trust Deed and/or Partnership Deed and Certificate of Registration.

**CHECK LIST**

Please ensure that :	Documents						
	Companies	Trusts	Societies	Partnership Firms	FIIs	Investments through Constituted Attorney	
<input type="checkbox"/> Your Application Form is complete in all respect. Name, Address & contact details are mentioned in full, signed by all applicants.	✓	✓	✓	✓	✓	✓	
<input type="checkbox"/> Bank Account Details are entered completely and correctly.	✓	✓	✓	✓	✓	✓	
<input type="checkbox"/> Certified PAN Card copy to be attached by all applicants in case of investment below Rs.50,000/-	✓	✓	✓	✓	✓	✓	
<input type="checkbox"/> The Cheque / DD is drawn in the correct name as mentioned in instruction no. 7 above & duly signed.		✓					
<input type="checkbox"/> PAN is mentioned on the reverse of the Cheque / DD.			✓				
<input type="checkbox"/> Documents as listed alongside are submitted along with the Application (as applicable to your specific case).				✓			
<input type="checkbox"/> Your investment is not less than the minimum investment amount.					✓		
<input type="checkbox"/> In case amount invested is Rs.50,000/- or more KYC Acknowledgement Letter is attached.						✓	
All documents above should be originals / true copies certified by the Director / trustee / Company Secretary / Authorised Signatory / Notary Public PAN Copy must be certified by a Bank Manager, AMFI Registered Distributor, Gazetted Officer, Notary or any other Judicial authority.							